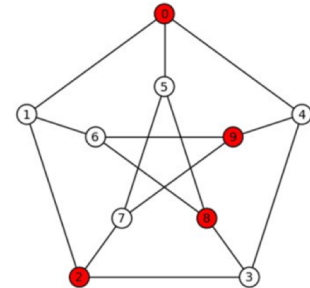


Hardness of Approximation

From the PCP Theorem to the 2-to-2 Games Theorem

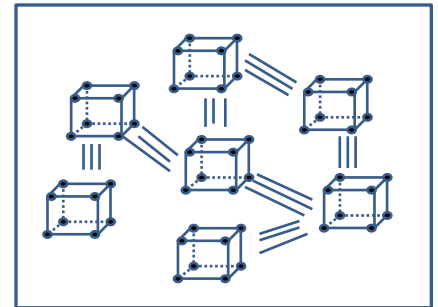
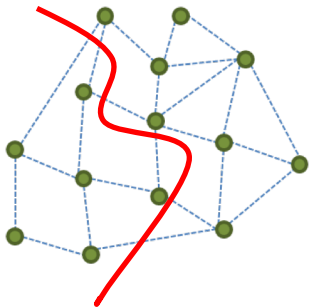
$$\begin{aligned}x_1 - x_7 &= 11 \pmod{17} \\x_2 - x_3 &= 13 \pmod{17} \\&\dots \\&\dots \\x_7 - x_9 &= 15 \pmod{17}\end{aligned}$$



Subhash Khot

Courant Institute of Mathematical Sciences

New York University



Where it Fits

Theoretical Computer
Science

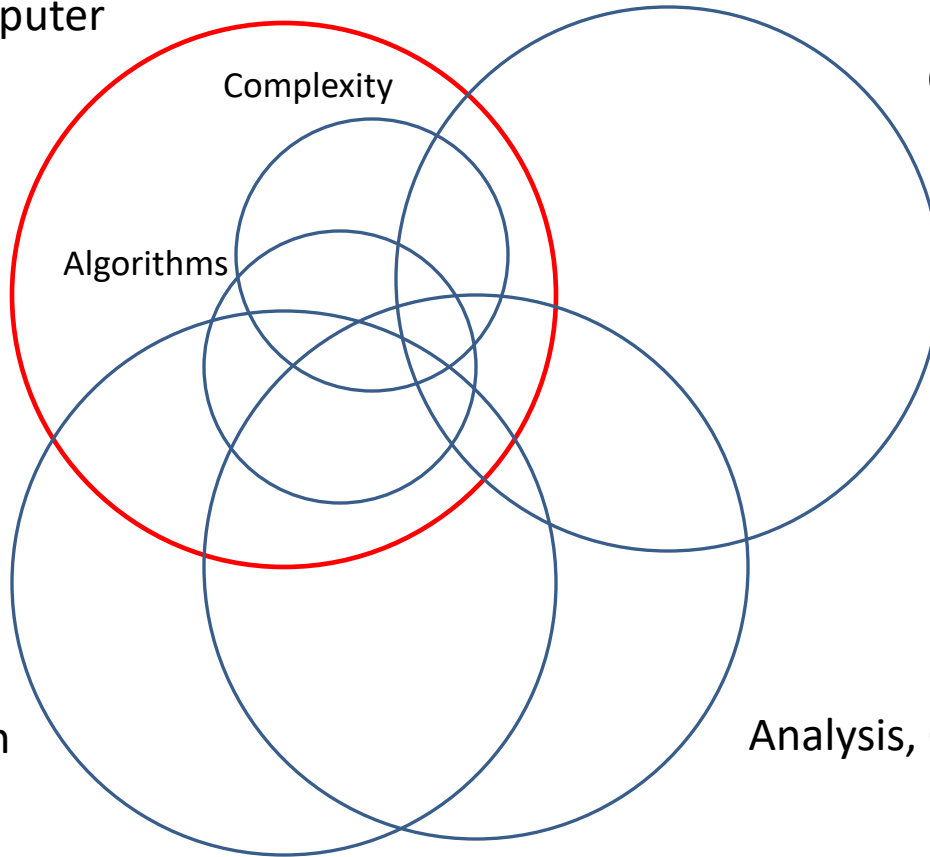
Discrete Math,
Combinatorics

Complexity

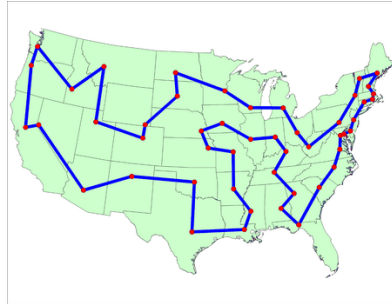
Algorithms

Optimization

Analysis, Geometry



NP-hard Problems



Traveling Salesperson

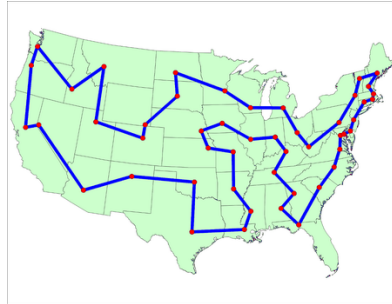
$(x \text{ OR } y \text{ OR } z) \text{ AND } (x \text{ OR } \bar{y} \text{ OR } z) \text{ AND}$
 $(x \text{ OR } y \text{ OR } \bar{z}) \text{ AND } (x \text{ OR } \bar{y} \text{ OR } \bar{z}) \text{ AND}$
 $(\bar{x} \text{ OR } y \text{ OR } z) \text{ AND } (\bar{x} \text{ OR } \bar{y} \text{ OR } \bar{z})$

3-SAT

- Everywhere, look under your chair! All equivalent to each other.
- $P \neq NP \equiv$ There is no fast (polynomial time) algorithm.
- Can we compute **approximate solutions** fast? (practice, theory, math).

NP-hard Problems

How well can we approximate?



Traveling Salesperson

Within 1%

[Arora, Mitchell 98]

$(x \text{ OR } y \text{ OR } z) \text{ AND } (x \text{ OR } \bar{y} \text{ OR } z) \text{ AND}$

$(x \text{ OR } y \text{ OR } \bar{z}) \text{ AND } (x \text{ OR } \bar{y} \text{ OR } \bar{z}) \text{ AND}$

$(\bar{x} \text{ OR } y \text{ OR } z) \text{ AND } (\bar{x} \text{ OR } \bar{y} \text{ OR } \bar{z})$

3-SAT

$\frac{7}{8}$ but not better
[Håstad 96]

- Focus of this talk: Hardness of approximation
- Amazing progress so far. Many challenges remain.
- **Exact** versus **Approximate**: a different ballgame.

Hardness of Approximation: Historically

1970	NP-hardness	[Cook, Karp, Levin]
1990	PCP Theorem	[Arora, Babai, Feige, Fortnow, Goldwasser, Lovasz] [Karloff, Lund, Motwani, Nisan, Safra, Shamir, Sudan, Szegedy]
1995- 2015	Multi-Prover Games, Boolean Function Analysis	[Bellare, Chan, Dinur, Feige, Goldreich, Guruswami, Håstad, K] [Kindler, Moshkovitz, Mossel, O'Donnell, Raz, Regev] [Raghavendra, Safra, Samorodnitsky, Sudan, Steurer, Trevisan]
2018	2-to-2 Games Theorem	[Dinur, K, Kindler, Minzer, Safra]
??	Unique Games Conjecture	[K]
??	Small Set Expansion Conjecture	[Raghavendra, Steurer]

Overview of the Talk

- Independent Set Problem

Checking a proof without looking at it.

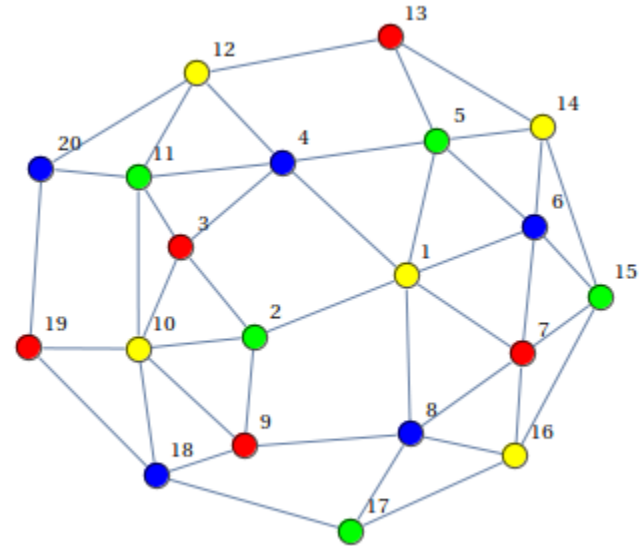
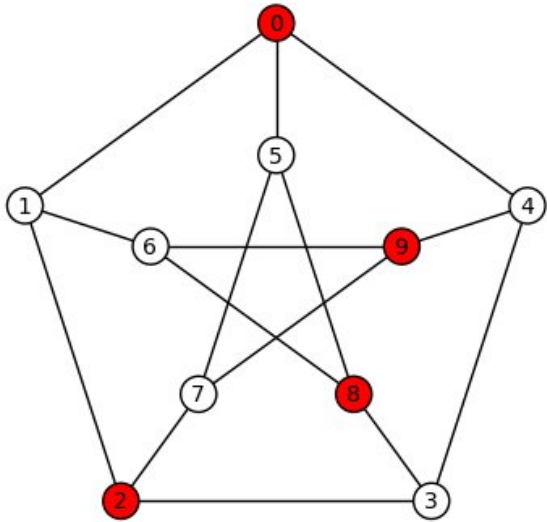
- Maximum Cut Problem

Majority vote, isoperimetry, spherical cubes.

- 2-to-2 Game Problem

NP-hard despite all the evidence otherwise.

Independent Set Problem



Problem

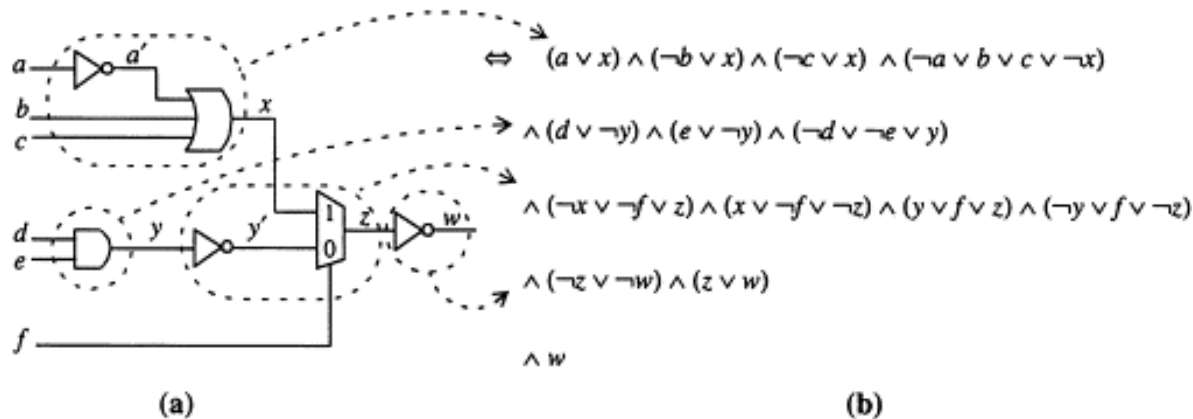
Given: A graph with N vertices that contains an independent set of size $\frac{N}{4}$

Goal: Find a large independent set.

2018 2-to-2 Games Theorem:

NP-hard to find independent set of size $0.001 N$.

Checking Proofs of Satisfiability



Satisfiability formula: Φ

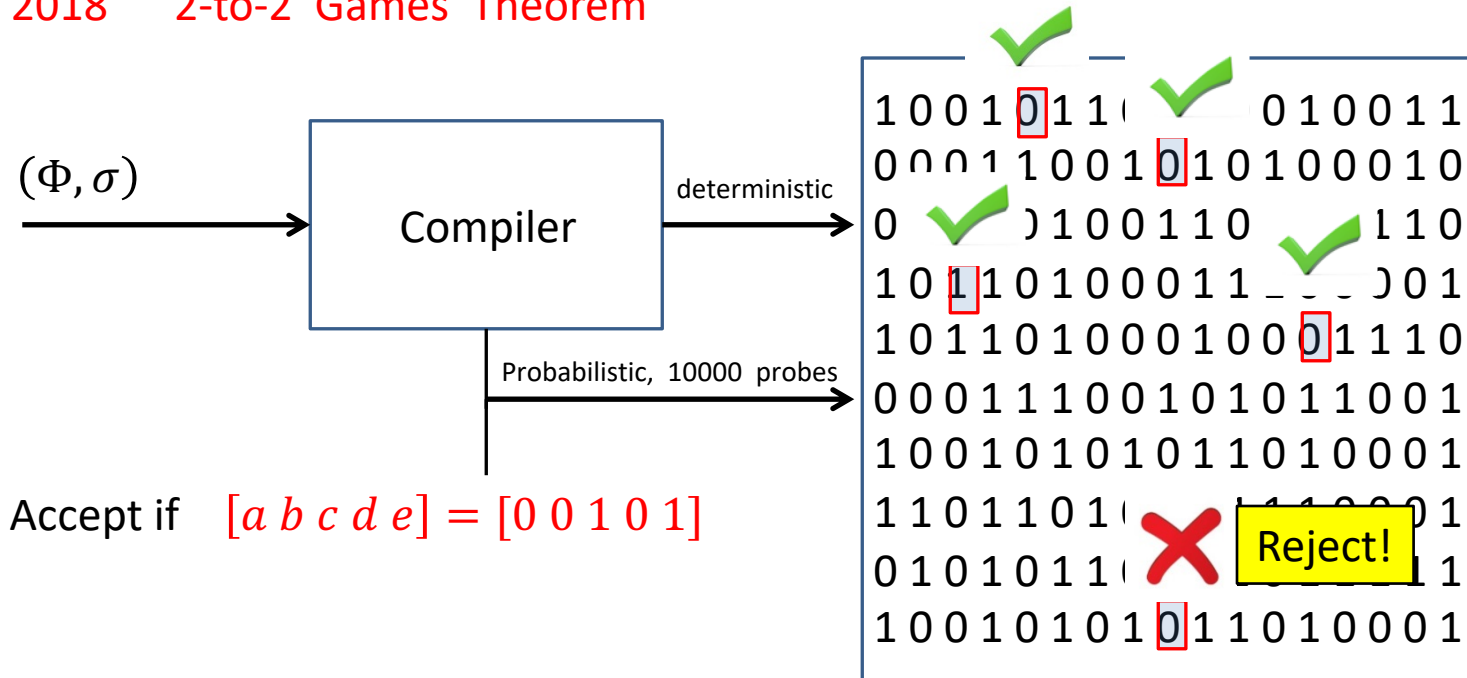
Fate of the word depends on knowing if Φ has a satisfying assignment.
if so, what is it.

Crackpots claiming to discover satisfying assignment σ .

Can we minimize effort of “talking” to the crackpots and “verifying” their claim?

Checking Proofs without Looking

2018 2-to-2 Games Theorem



- If σ does not satisfy Φ then $\Pr[\text{accept}] < 0.0001$
- If Φ is **unsatisfiable** then $\Pr[\text{accept}] < 0.0001$ **irrespective of the proof.**
- If σ does satisfy Φ then $\Pr[\text{accept}] = \boxed{1/4}$

Overview of the Talk

- Independent Set Problem

Checking a proof without looking at it.

- Maximum Cut Problem

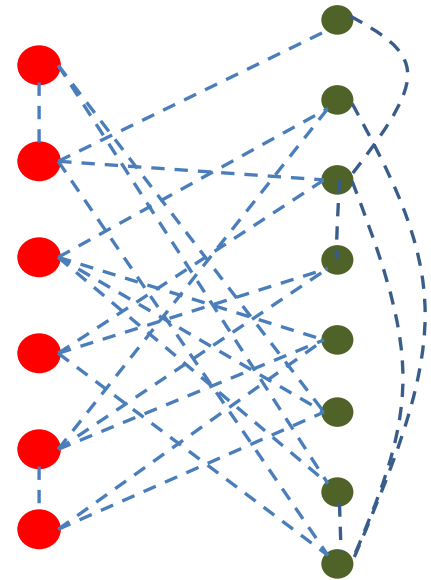
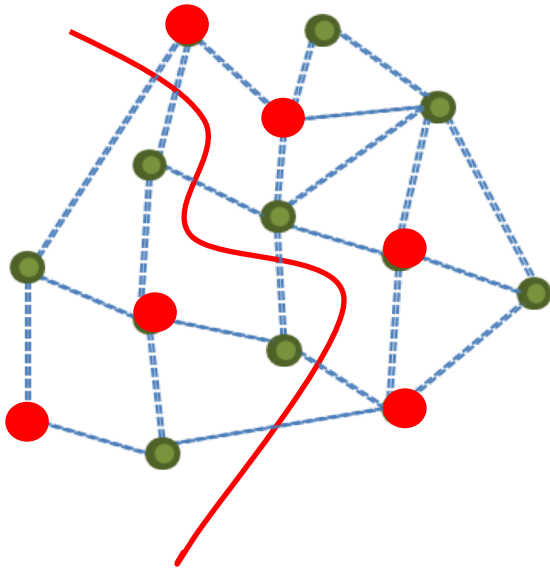
Majority vote, isoperimetry, spherical cubes.

- 2-to-2 Game Problem

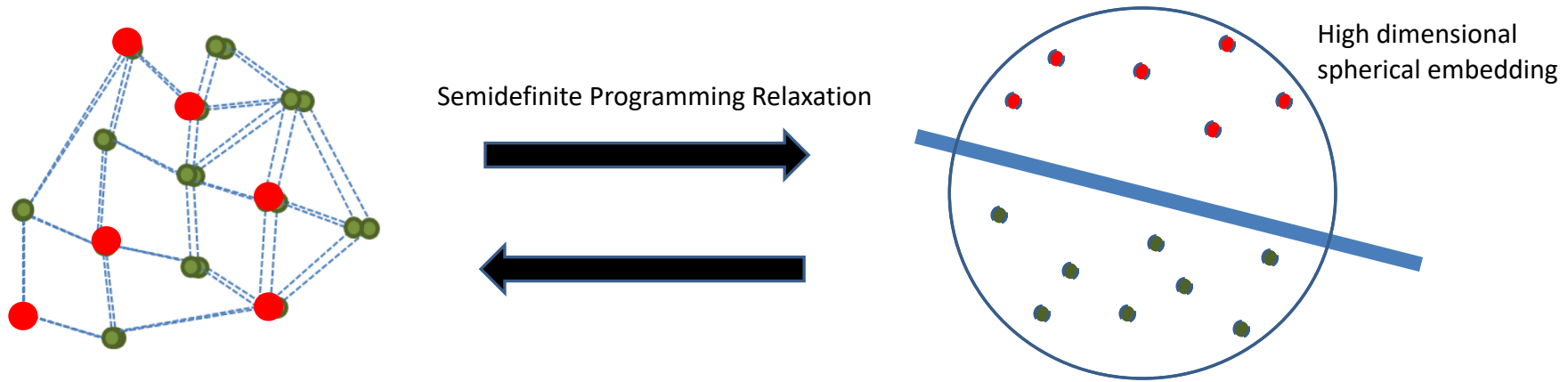
NP-hard despite all the evidence otherwise.

Maximum Cut Problem

Find a cut that maximizes the number of edges cut.



Goemans-Williamson Algorithm '90



- Unique / 2-to-2 Games Conjecture \Rightarrow [Goemans Williamson] is optimal!
 - Majority is Stablest.
 - Isoperimetric problems.

Reduction, Majority Is Stablest Theorem

$$x_1 - x_7 = 11 \pmod{17}$$

$$x_2 - x_3 = 13 \pmod{17}$$

...

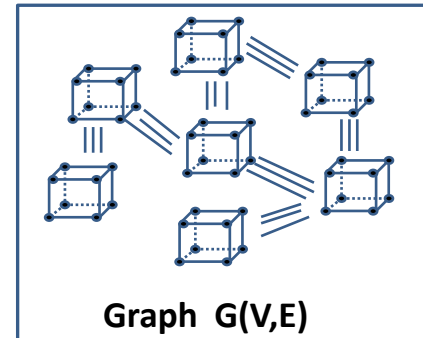
....

$$x_7 - x_9 = 15 \pmod{17}$$

Unique /2-to-2 Game instance

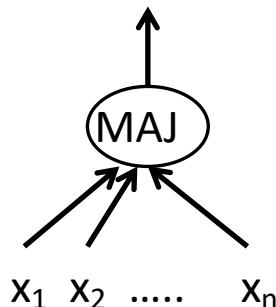
Reduction

Majority Is Stablest
Theorem

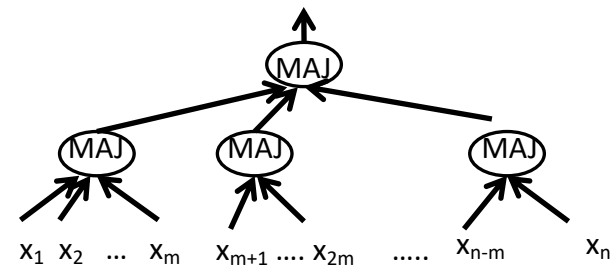


- $f : \{-1,1\}^n \rightarrow \{-1,1\} \equiv$ “voting schemes”
- Two candidates $\{-1,1\}$, n voters, votes are i.i.d. $\{-1,1\}$.
- Voting scheme $f : \{-1,1\}^n \rightarrow \{-1,1\}$, “democratic: no voter too influential”.

Majority



Electoral College



Majority Is Stablest Theorem

- Voting scheme $f : \{-1,1\}^n \rightarrow \{-1,1\}$, “democratic”, “balanced”.
- Which f is most noise-stable?

$$x \leftarrow (x_1, \dots, x_n), \quad \forall i \quad y_i \leftarrow \begin{cases} x_i & \text{with probability } 1 - \varepsilon \\ -x_i & \text{with probability } \varepsilon \end{cases}$$

$$y \leftarrow (y_1, \dots, y_n).$$

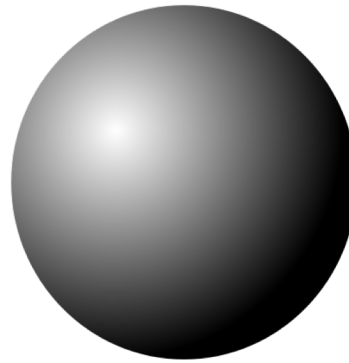
Which f minimizes $\Pr_{x,y}[f(x) \neq f(y)]$?

- [Mossel O’Donnell Oleszkiewicz ‘05] Majority!
- Main idea: Switch from Boolean domain to Gaussian domain.

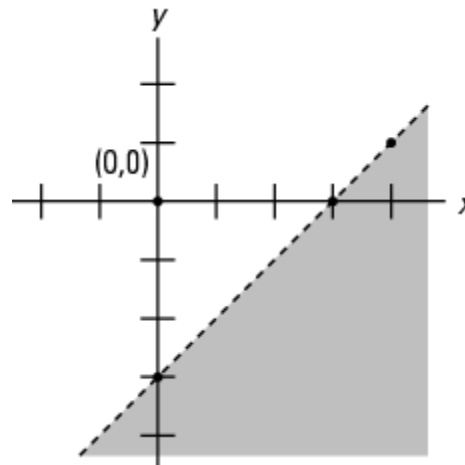
Isoperimetry

In \mathbb{R}^n , which “shape” with a fixed volume has least surface area?

Standard case:

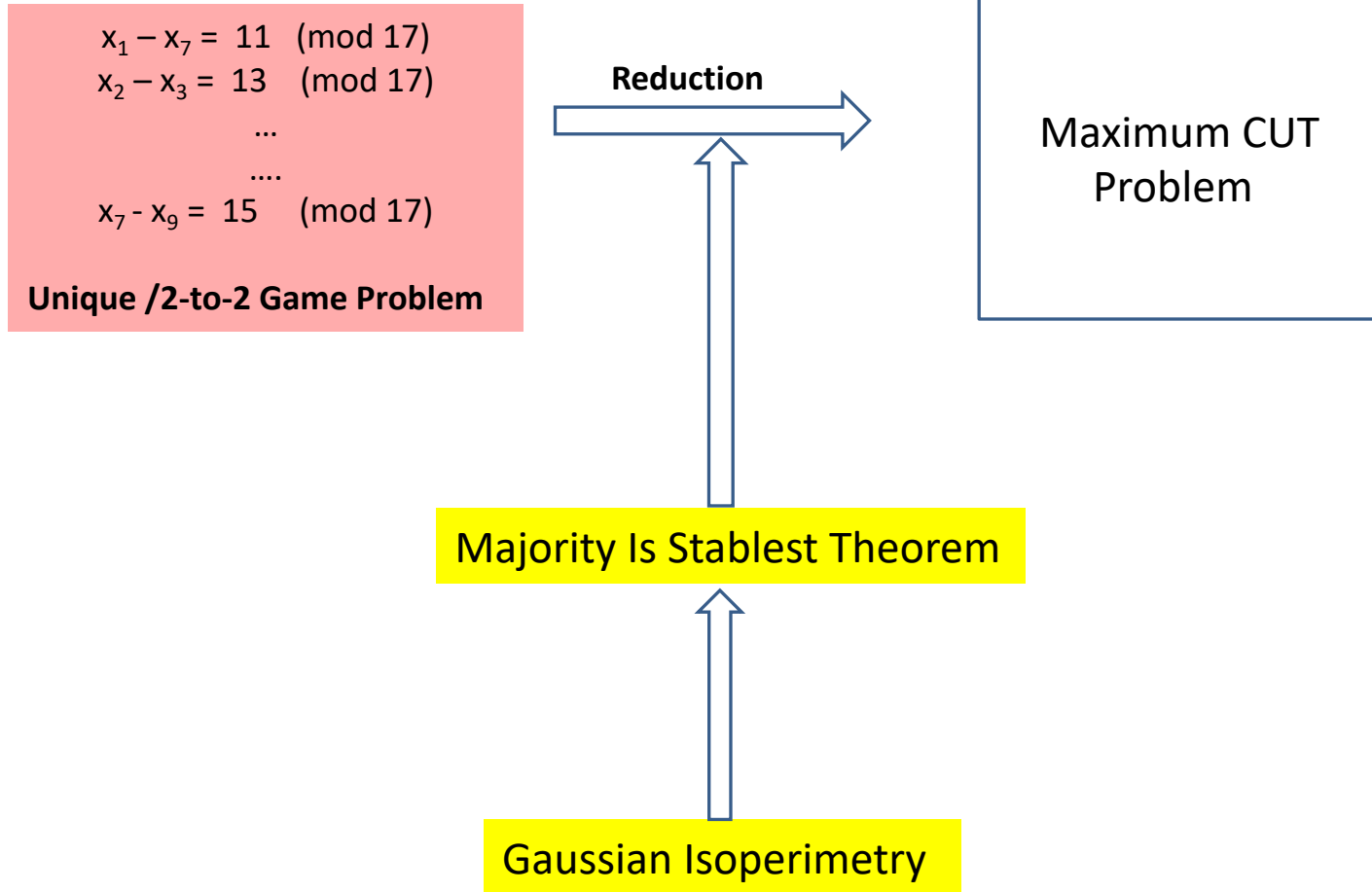


Gaussian case:



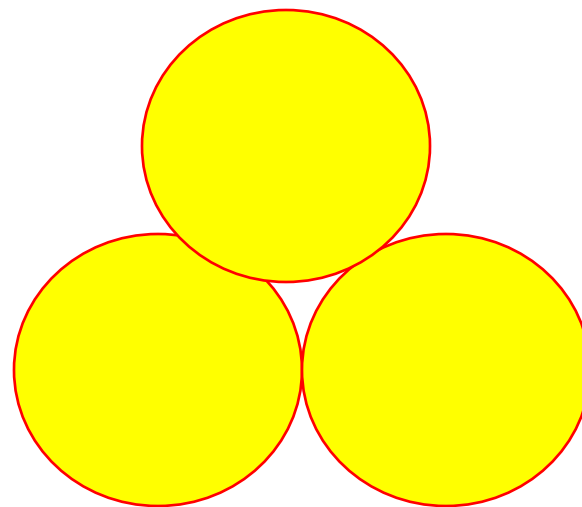
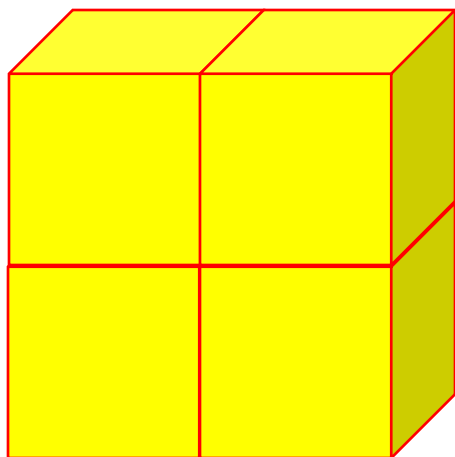
[Borell '85]

Punchline



Spherical Cubes: Hardness Amplification Fails

Problem: **Tiling** \mathbb{R}^n using a “shape” of unit volume and minimum surface area.



[Raz, Kindler O’Donnell Rao Wigderson, Alon Klartag ‘08]

There exists a tiling shape with unit volume and surface area $O(\sqrt{n})$!

Overview of the Talk

- Independent Set Problem

Checking a proof without looking at it.

- Maximum Cut Problem

Majority vote, isoperimetry, spherical cubes.

- 2-to-2 Game Problem

NP-hard despite all the evidence otherwise.

Unique Game

$$\begin{array}{rcl}
 x_1 - x_7 & = & 5 \pmod{p} \\
 x_2 - x_3 & = & -2 \pmod{p} \\
 & \dots & \\
 x_i - x_j & = & c_{ij} \pmod{p} \\
 & \dots & \\
 x_7 - x_n & = & 11 \pmod{p}
 \end{array}$$

2-to-2 Game

$$\begin{array}{rcl}
 x_1 - x_7 & = & 5, -1 \pmod{p} \\
 x_2 - x_3 & = & -2, 0 \pmod{p} \\
 & \dots & \\
 x_i - x_j & = & c_{ij}, b_{ij} \pmod{p} \\
 & \dots & \\
 x_7 - x_n & = & 11, 3 \pmod{p}
 \end{array}$$

Unique Games Conjecture

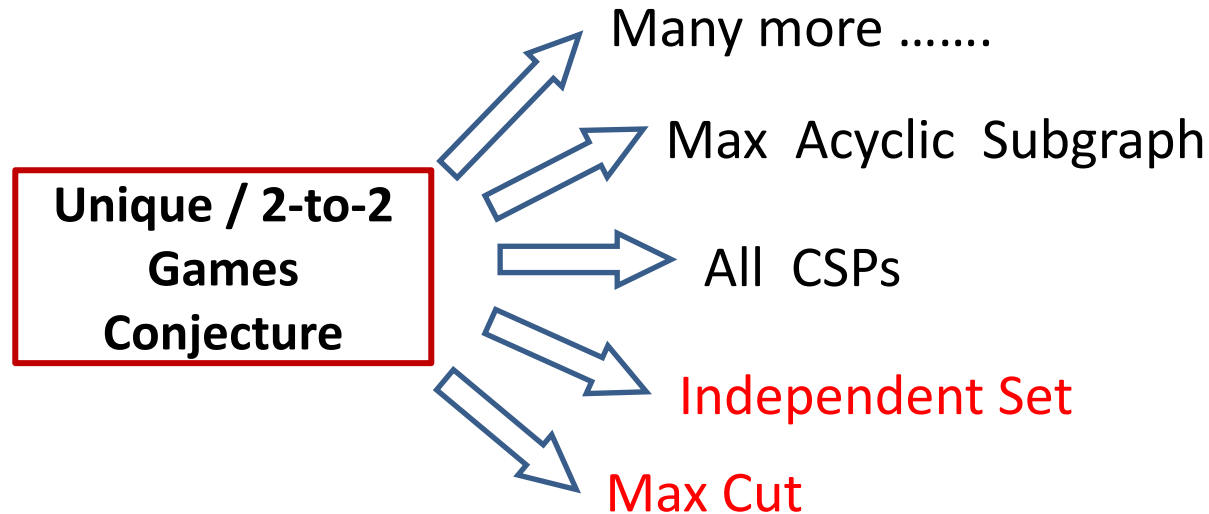
$\forall \delta > 0, \exists p$ such that given a $1 - \delta$ satisfiable Unique Game, it is NP-hard to find a δ satisfying assignment.

2-to-2 Games Theorem

$\forall \delta > 0, \exists p$ such that given a $\frac{1}{2} - \delta$ satisfiable ~~2-to-2 Game~~ Unique Game, it is NP-hard to find a δ satisfying assignment.

Simplest Hard Problem

Many other problems are hard to approximate.



- Algorithms, Optimization.
- Computational complexity.
- Analysis and Geometry.